

645—133.4(152C,272C) Audit of continuing education report. After every two-year renewal period, the board may audit licensees to review compliance with continuing education requirements.

133.4(1) The board may audit a percentage of its licensees and may, at its discretion, audit a licensee. A licensee whose license renewal application is submitted during the grace period may be subject to a continuing education audit.

133.4(2) The licensee shall provide the following information to the board for auditing purposes:

a. Date and location of course, course title, course description, names and qualifications of instructors/speakers and method of presentation; or a program brochure which includes all the information required in this paragraph;

b. Number of contact hours for program attended; and

c. Individual certificate of completion issued to the licensee or evidence of successful completion of the course from the course sponsor.

133.4(3) For auditing purposes, all licensees must retain the information identified in subrule 133.4(2) for two years after the renewal period has ended.

133.4(4) Information identified in subrule 133.4(2) must be submitted within one month after the date of notification of the audit. An extension of time may be granted on an individual basis.

133.4(5) If the submitted materials are incomplete or unsatisfactory, the licensee may be given the opportunity to submit make-up credit to cover the deficit found through the audit if the board determines that the deficiency was the result of good-faith conduct on the part of the licensee. The deadline for receipt of the documentation for this make-up credit is 120 days from the date of mailing to the address of record at the board office.

133.4(6) Failure to notify the board of a current mailing address will not absolve the licensee from the audit requirement, and an audit must be completed before license renewal.